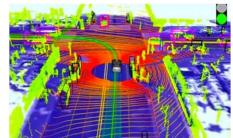


Intelligent Image and Graphics Processing 智能图像图形处理













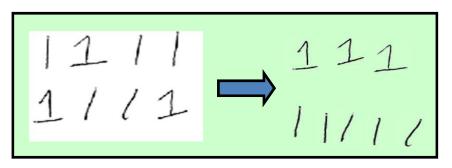
Clustering







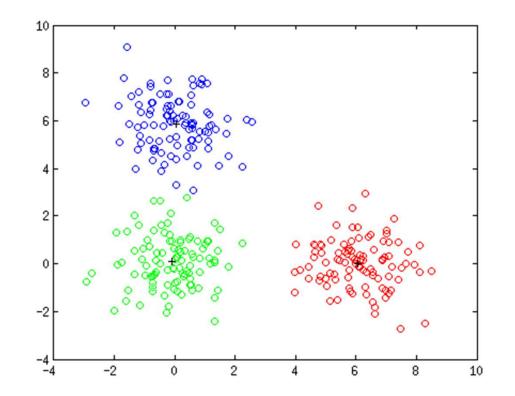
- Attach label to each observation or data points in a set
- You can say this "unsupervised classification"
- Clustering is alternatively called as "grouping"
- Intuitively, if you would want to assign same label to a data points that are "close" to each other
- Thus, clustering algorithms rely on a **distance metric** between data points
- Sometimes, it is said that the for clustering, the distance metric is more important than the clustering algorithm













Distances: Quantitative Variables

Identity (absolute) error

$$d_j(x_{ij}, x_{i'j}) = I(x_{ij} \neq x_{i'j})$$

Squared distance

$$d_j(x_{ij}, x_{i'j}) = (x_{ij} - x_{i'j})^2$$

Data point:

$$x_i = [x_{i1} \dots x_{ip}]^T$$

 L_q norms

Some examples

$$L_{qii'} = \left[\sum_{j} |x_{ij} - x_{i'j}|^q\right]^{1/q}$$

Canberra distance

$$d_{ii'} = \sum_{j} \frac{|x_{ij} - x_{i'j}|}{|x_{ij} + x_{i'j}|}$$

Correlation

$$\rho(x_i, x_{i'}) = \frac{\sum_j (x_{ij} - \bar{x}_i)(x_{i'j} - \bar{x}_{i'})}{\sqrt{\sum_j (x_{ij} - \bar{x}_i)^2 \sum_j (x_{i'j} - \bar{x}_{i'})^2}}$$





Partitioning Clustering Approach



- Partitioning Clustering Approach
 - a typical clustering analysis approach via iteratively partitioning training data set to learn a partition of the given data space
 - learning a partition on a data set to produce several non-empty clusters (usually, the number of clusters given in advance)
 - in principle, optimal partition achieved via minimizing the sum of squared distance to its "representative object" in each cluster

$$E = \sum_{k=1}^{K} \sum_{\mathbf{x} \in C_k} d^2(\mathbf{x}, \mathbf{m}_k)$$

e.g., Euclidean distance $d^2(\mathbf{x}, \mathbf{m}_k) = \sum_{n=1}^{N} (x_n - m_{kn})^2$





- Given a *K*, find a partition of *K clusters* to optimize the chosen partitioning criterion (cost function)
 - o global optimum: exhaustively search all partitions
- The *K-means* algorithm: a heuristic method
 - K-means algorithm (MacQueen'67): each cluster is represented by the center of the cluster and the algorithm converges to stable centriods of clusters.
 - K-means algorithm is the simplest partitioning method for clustering analysis and widely used in data mining applications.





Given the cluster number *K*, the *K*-means algorithm is carried out in three steps after initialization:

Initialisation: set seed points (randomly)

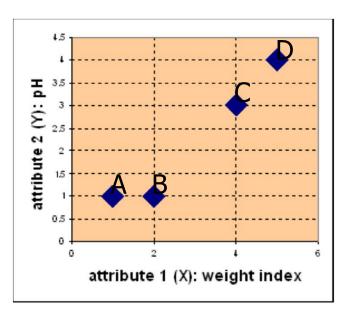
- 1) Assign each object to the cluster of the nearest seed point measured with a specific distance metric
- 2) Compute new seed points as the centroids of the clusters of the current partition (the centroid is the centre, i.e., *mean point*, of the cluster)
- 3) Go back to Step 1), stop when no more new assignment (i.e., membership in each cluster no longer changes)





Suppose we have 4 types of medicines and each has two attributes (pH and weight index). Our goal is to group these objects into K=2 group of medicine.

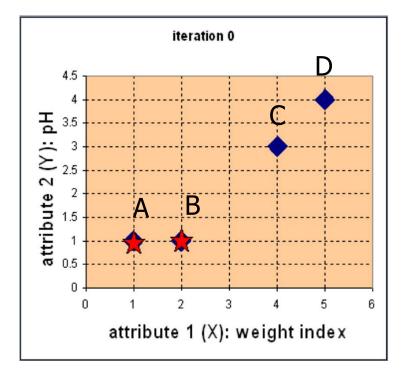
Medicine	Weight	pH- Index
А	1	1
В	2	1
С	4	3
D	5	4







• Step 1: Use initial seed points for partitioning



$$c_{1} = A, c_{2} = B$$

$$D^{0} = \begin{bmatrix} 0 & 1 & 3.61 & 5 \\ 1 & 0 & 2.83 & 4.24 \end{bmatrix} \begin{array}{c} c_{1} = (1,1) & group - 1 \\ c_{2} = (2,1) & group - 2 \\ A & B & C & D \\ \begin{bmatrix} 1 & 2 & 4 & 5 \\ 1 & 1 & 3 & 4 \end{bmatrix} \begin{array}{c} X \\ Y \end{array} \begin{array}{c} \text{Euclidean} \\ \text{distance} \end{array}$$

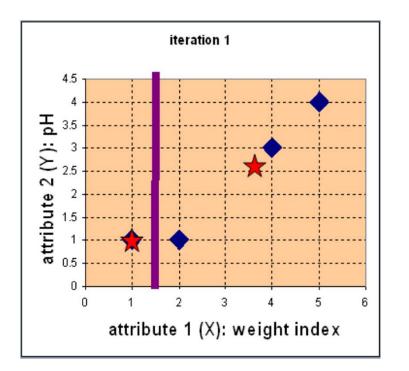
$$d(D, c_{1}) = \sqrt{(5-1)^{2} + (4-1)^{2}} = 5 \\ d(D, c_{2}) = \sqrt{(5-2)^{2} + (4-1)^{2}} = 4.24 \end{bmatrix}$$

Assign each object to the cluster with the nearest seed point





Step 2: Compute new centroids of the current partition



Knowing the members of each cluster, now we compute the new centroid of each group based on these new memberships.

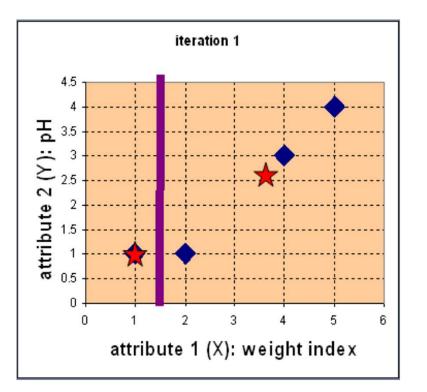
$$c_1 = (1, 1)$$

$$c_2 = \left(\frac{2+4+5}{3}, \frac{1+3+4}{3}\right)$$
$$= \left(\frac{11}{3}, \frac{8}{3}\right)$$





• Step 2: Renew membership based on new centroids



Compute the distance of all objects to the new centroids

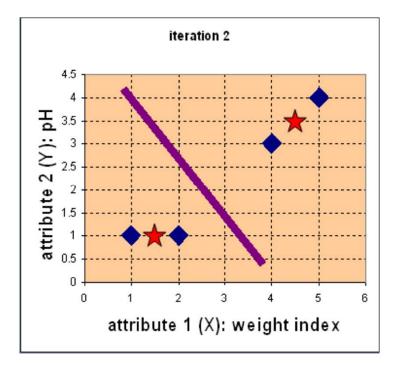
$$\mathbf{D}^{1} = \begin{bmatrix} 0 & 1 & 3.61 & 5 \\ 3.14 & 2.36 & 0.47 & 1.89 \end{bmatrix} \begin{array}{c} \mathbf{c}_{1} = (1,1) & group - 1 \\ \mathbf{c}_{2} = (\frac{11}{3}, \frac{8}{3}) & group - 2 \\ A & B & C & D \\ \begin{bmatrix} 1 & 2 & 4 & 5 \\ 1 & 1 & 3 & 4 \end{bmatrix} \begin{array}{c} X \\ Y \end{array}$$

Assign the membership to objects





• Step 3: Repeat the first two steps until its convergence



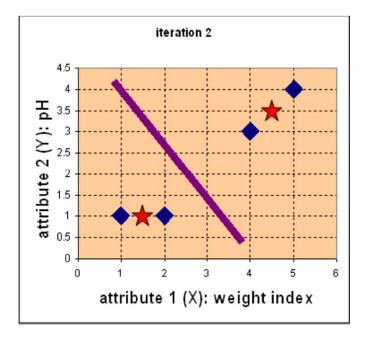
Knowing the members of each cluster, now we compute the new centroid of each group based on these new memberships.

$$c_{1} = \left(\frac{1+2}{2}, \frac{1+1}{2}\right) = (1\frac{1}{2}, 1)$$
$$c_{2} = \left(\frac{4+5}{2}, \frac{3+4}{2}\right) = (4\frac{1}{2}, 3\frac{1}{2})$$





• Step 3: Repeat the first two steps until its convergence



Compute the distance of all objects to the new centroids

$$\mathbf{D}^{2} = \begin{bmatrix} 0.5 & 0.5 & 3.20 & 4.61 \\ 4.30 & 3.54 & 0.71 & 0.71 \end{bmatrix} \quad \mathbf{c}_{1} = (1\frac{1}{2}, 1) \quad group - 1 \\ \mathbf{c}_{2} = (4\frac{1}{2}, 3\frac{1}{2}) \quad group - 2 \\ A \quad B \quad C \quad D \\ \begin{bmatrix} 1 & 2 & 4 & 5 \\ 1 & 1 & 3 & 4 \end{bmatrix} \end{bmatrix} \quad X \\ \begin{bmatrix} 1 & 2 & 4 & 5 \\ 1 & 1 & 3 & 4 \end{bmatrix} \quad Y$$

Stop due to no new assignment Membership in each cluster no longer change





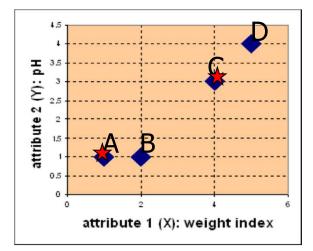
For the medicine data set, use K-means with the Manhattan distance

metric for clustering analysis by setting K=2 and initializing seeds as

 $C_1 = A$ and $C_2 = C$. Answer three questions as follows:

- 1. How many steps are required for convergence?
- 2. What are memberships of two clusters after convergence?
- 3. What are centroids of two clusters after convergence?

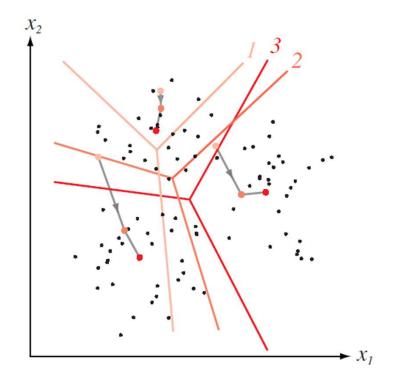
Medicine	Weight	pH- Index
А	1	1
В	2	1
С	4	3
D	5	4





How K-means partitions?





When *K* centroids are set/fixed, they partition the whole data space into *K* mutually exclusive subspaces to form a partition.

A partition amounts to a

Voronoi Diagram

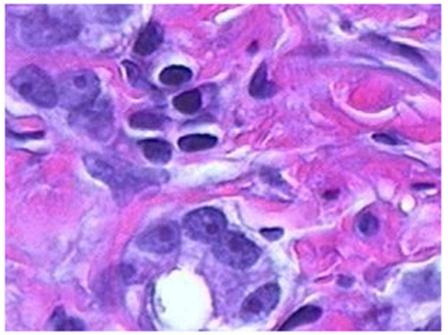
Changing positions of centroids leads to a new partitioning.







H&E image



Step 1: Loading a colour image of tissue stained with hemotoxylin and eosin (H&E)

Image courtesy of Alan Partin, Johns Hopkins University





Step 2: Convert the image from RGB colour space to L*a*b* colour space

- Unlike the RGB colour model, <u>L*a*b*</u> colour is designed to approximate human vision.
- There is a complicated transformation between RGB and L*a*b*.

 $(L^*, a^*, b^*) = T(R, G, B).$ $(R, G, B) = T'(L^*, a^*, b^*).$



Step 3: Undertake clustering analysis in the (a*, b*) colour space with the *K*-means algorithm

- In the L*a*b* colour space, each pixel has a properties or feature vector: (L*, a*, b*).
- Like feature selection, L* feature is discarded. As a result, each pixel has a feature vector (a*, b*).
- Applying the *K*-means algorithm to the image in the a*b* feature space where *K* = 3 (by applying the domain knowledge.





Step 4: Label every pixel in the image using the results from *K*-means Clustering (indicated by three different grey levels)

H&E image

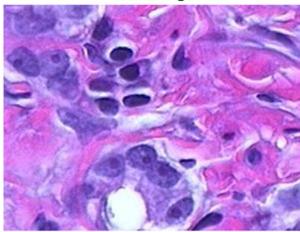
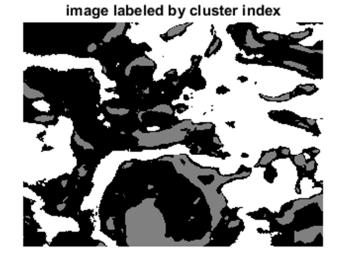


Image courtesy of Alan Partin, Johns Hopkins University







Step 4: Label every pixel in the image using the results from *K*-means Clustering (indicated by three different grey levels)

H&E image

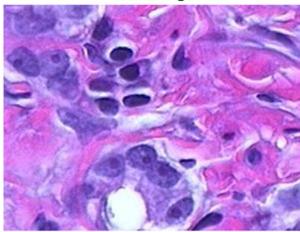
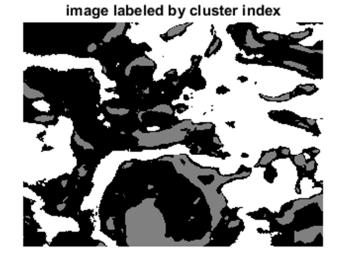


Image courtesy of Alan Partin, Johns Hopkins University





Application: Learning Feature Representations

1. Normalize inputs:

$$x^{(i)} := \frac{x^{(i)} - \operatorname{mean}(x^{(i)})}{\sqrt{\operatorname{var}(x^{(i)}) + \epsilon_{\operatorname{norm}}}}, \forall i$$

2. Whiten inputs:

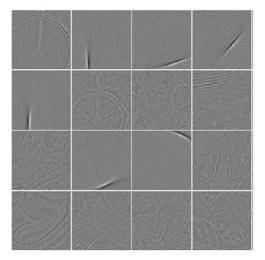
$$\begin{split} [V,D] &:= \operatorname{eig}(\operatorname{cov}(x)); \ // \ \mathrm{So} \ VDV^{\top} = \operatorname{cov}(x) \\ x^{(i)} &:= V(D + \epsilon_{\operatorname{zca}}I)^{-1/2}V^{\top}x^{(i)}, \forall i \end{split}$$

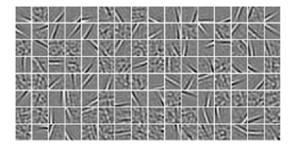
3. Loop until convergence (typically 10 iterations is enough):

$$\begin{split} s_j^{(i)} &:= \begin{cases} \mathcal{D}^{(j)\top} x^{(i)} & \text{if } j == \underset{l}{\arg\max} |\mathcal{D}^{(l)\top} x^{(i)}| \\ 0 & \text{otherwise.} \end{cases} & \forall j, i \\ \mathcal{D} &:= XS^\top + \mathcal{D} \\ \mathcal{D}^{(j)} &:= \mathcal{D}^{(j)} / ||D^{(j)}||_2 \forall j \end{split}$$

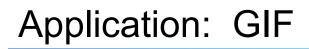
Adam Coates and Andrew Y. Ng, Learning Feature Representations with K-Means



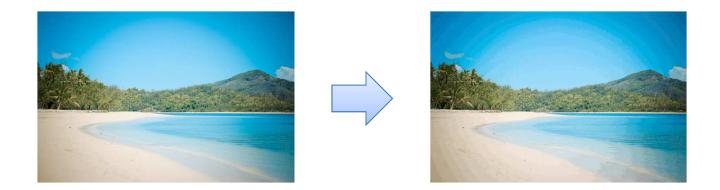








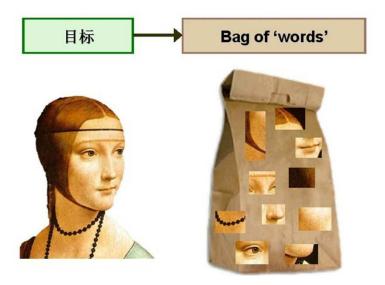


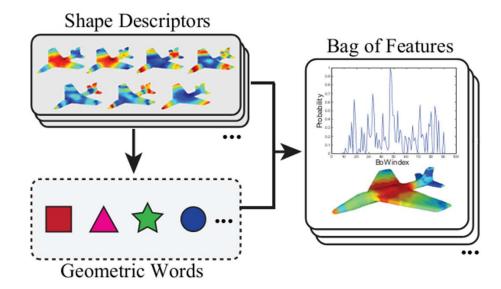




Application: Bag of Words









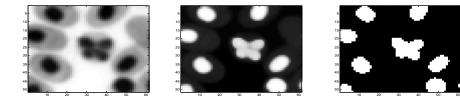


- Bag of Words (BoW)
- Image segmentation
- Superpixel













- *K*-means algorithm is a simple yet popular method for clustering analysis
- Its performance is determined by initialisation and appropriate distance measure
- There are several variants of *K*-means to overcome its weaknesses
 - *K*-Medoids: resistance to noise and/or outliers
 - *K*-Modes: extension to categorical data clustering analysis
 - CLARA: extension to deal with large data sets
 - Mixture models (EM algorithm): handling uncertainty of clusters